

**“Pledge of Collateral”**

Date

CUSTODIAN  
CUSTODIAN’S ADDRESS  
CITY, ST, ZIP

Gentlemen:

Pursuant to our Collateral Agreement, please pledge the following securities to the State Treasurer and mail the receipts to the SAFE Division, P.O. Box 302510, Montgomery, AL 36130-2510.

CUSIP NUMBER	DESCRIPTION	INTEREST RATE	MATURITY DATE	ORIGINAL PAR/FACE AMOUNT	CURRENT PAR	MARKET VALUE	RATING
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Thank you.

Sincerely,

AUTHORIZED PERSON  
TITLE  
PHONE #  
EMAIL  
FAX #

Cc: Office of State Treasurer  
SAFE Division  
Fax (334) 353-4354  
Email [safe.treasury@treasury.alabama.gov](mailto:safe.treasury@treasury.alabama.gov)

## **“Withdrawal of Collateral”**

Date

CUSTODIAN  
CUSTODIAN'S ADDRESS  
CITY, ST, ZIP

Gentlemen:

Pursuant to our Collateral Agreement, please release the following securities from pledge to the State Treasurer. I certify that the market value of the remaining pledged securities is equal to or greater than our required collateral. Approval by the Office of the State Treasurer is provided below.

CUSIP NUMBER	DESCRIPTION	INTEREST RATE	MATURITY DATE	ORIGINAL PAR/FACE AMOUNT	CURRENT PAR	MARKET VALUE	RATING
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Thank you.

Sincerely,

APPROVED:  
Office of State Treasurer

AUTHORIZED PERSON  
TITLE  
PHONE #  
FAX #  
EMAIL

By: \_\_\_\_\_

Date: \_\_\_\_\_

Cc: Office of State Treasurer  
SAFE Division  
Fax (334) 353-4354  
Email [safe.treasury@treasury.alabama.gov](mailto:safe.treasury@treasury.alabama.gov)

## **“Substitution of Collateral”**

Date

CUSTODIAN  
CUSTODIAN’S ADDRESS  
CITY, ST, ZIP

Gentlemen:

In accordance with our Collateral Agreement, please transact the following substitution of collateral pledged to the State Treasurer. The securities to be pledged have an equal or greater market value than those to be released. Please mail the receipts to the SAFE Division, P.O. Box 302510, Montgomery, AL 36130-2510.

Pledge the following securities:

CUSIP NUMBER	DESCRIPTION	INTEREST RATE	MATURITY DATE	ORIGINAL PAR/FACE AMOUNT	CURRENT PAR	MARKET VALUE	RATING
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Release the following securities:

CUSIP NUMBER	DESCRIPTION	INTEREST RATE	MATURITY DATE	ORIGINAL PAR/FACE AMOUNT	CURRENT PAR	MARKET VALUE	RATING
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Thank you.

Sincerely,

AUTHORIZED PERSON  
TITLE  
PHONE #  
FAX #  
EMAIL

Cc: SAFE Division  
Fax (334) 353-4354  
Email [safe.treasury@treasury.alabama.gov](mailto:safe.treasury@treasury.alabama.gov)